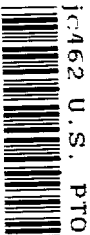


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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. TEX98-01

First Named Inventor or Application Identifier

David M. Mayes

Express Mail Label No. EM361195241US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages: 25]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 4]
4. Oath or Declaration / POA [Total Pages:]
- a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (2)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 08,777,228

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

21005

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

David J. Thibodeau, Jr.

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

ADDRESS

Two Militia Drive

CITY

Lexington

STATE

MA

ZIP CODE

02173

COUNTRY

USA

TELEPHONE

(781) 861-6240

FAX

(781) 861-9540

SIGNATURE

Registration No. 31,671

DATE 6 FEB 1998

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Date: 02-06-98 Express Mail Label No. EM361195241US

Inventor(s): David M. Mayes
Attorney's Docket No.: TEX98-01

GRAIN QUALITY MONITOR

RELATED APPLICATION

This application is a continuation-in-part of a prior United States' patent application entitled "Short-Wave Near
5 Infrared (SW-NIR) Analysis System and Method", serial number 08/777,228 filed December 30, 1996 by David M. Mayes, the entire teachings of which are incorporated herein by reference.

BACKGROUND OF THE INVENTION

10 It has been long recognized that the value of agricultural products such as cereal grains and the like are affected by the quality of their inherent constituent components. In particular, cereal grains with desirable protein, oil, starch, fiber, and moisture content and
15 desirable levels of carbohydrates and other constituents can command a premium price. Favorable markets for these grains and their processed commodities have therefore created the need for knowing content and also various other various physical characteristics such as hardness.

20 To meet market expectations, numerous analyzer systems have been developed using near infrared (NIR) spectroscopy techniques to analyze the percentage concentrations of protein and moisture. Some of these systems target cereal grains in milled form as explained, for example, in U.S.

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Patent No. 5,258,825. The value added by milling in some instances decreases the economic gain that is obtained by first sorting, and thus others target the analysis of whole grains, as in U.S. Patent No. 4,260,262.

5 NIR spectrophotometric techniques are typically favored because of their speed, requiring typically only thirty to sixty seconds to provide results, as compared with the hours of time which would be needed to separate and analyze constituents using wet chemical and other
10 laboratory methods. NIR spectrophotometric techniques are also favored because they do not destroy the samples analyzed. In a typical analysis of wheat grains, for example, a sample is irradiated serially with selected wavelengths. Next, either the sample's diffuse
15 transmissivity or its diffuse reflectance is measured. Either measurement then lends itself to use in algorithms that are employed to determine the percentage concentration of constituents of a substance.

For example, the analyzer described in U.S. Patent No.
20 4,260,262 determines the percentage of oil, water, and protein constituents by using the following equations:

$$\begin{aligned}\text{oil \%} &= K_0 + K_1(\Delta OD)_w + K_2(\Delta OD)_o + K_3(\Delta OD)_p \\ \text{water \%} &= K_4 + K_5(\Delta OD)_w + K_6(\Delta OD)_o + K_7(\Delta OD)_p \\ \text{protein \%} &= K_8 + K_9(\Delta OD)_w + K_{10}(\Delta OD)_o + K_{11}(\Delta OD)_p\end{aligned}$$

25 where $(\Delta OD)_w$ represents the change in optical density using a pair of wavelengths sensitive to the percentage moisture content, $(\Delta OD)_o$ represents the change in optical density using a pair of wavelengths sensitive to the percentage oil content, and $(\Delta OD)_p$ represents the change in optical
30 density using a pair of wavelengths sensitive to the

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percentage protein contents. K_0 - K_{11} are constants or influence factors.

The change in optical density of any given constituent may thus be found from the following equation:

5
$$\Delta OD = \log (I_i/I_r)_1 - \log(I_i/I_r)_2$$

where $(I_i/I_r)_1$ is the ratio of the intensity of incident light to the intensity of reflected light at one selected wavelength, and $(I_i/I_r)_2$ is the ratio of the intensity of incident light to the intensity of reflected light at a
10 second selected wavelength.

Typically, grain analyzers use selected wavelengths in the range of about 1100 to 2500 nanometers. However, in U.S. Patent No. 5,258,825, particle size effects of flour were overcome by additionally using a 540 nanometer
15 wavelength.

Analyzers of the prior art typically use a filter wheel or scanning diffraction grating to serially generate the specific wavelengths that are of interest in analyzing grain constituents. Because of moving parts, filter wheels
20 and scanning diffraction gratings are sensitive to vibration and are not reliable in analyzing grain during harvesting. They therefore are not suitable for withstanding the mechanical vibrations generated by a combine or other agricultural harvesting equipment, and
25 therefore have not found use in real-time measurement of grain constituents during harvesting.

SUMMARY OF THE INVENTION

This invention is concerned with a near infrared (NIR) analysis system and method for determining percentage
30 concentration of constituents in a flowing stream of

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agricultural products and related substances as they are fed through a combine harvester, grain processing, or storage equipment. Such agricultural products may include, but are not limited to, for example, cereal grains such as
5 wheat, corn, rye, oats, barley, rice, soybeans, amaranth, triticale, and other grains, grasses and forage materials.

The invention uses the diffuse reflectance properties of light to obtain percentage concentrations of constituents of the flowing stream of an agricultural
10 substance. The techniques involved measure a spectral response to short wavelength, near infrared (NIR) radiant energy in the range from 600 to about 1100 nanometers (nm) as well as light in the visible spectrum, including wavelengths as low as about 570 nanometers (nm). The
15 spectral response at shorter wavelengths helps in the modeling of proteins and other constituents in conjunction with the response at higher wavelengths.

The analysis system includes an optical head having a suitably broad bandwidth light source for irradiating the
20 flowing agricultural product stream simultaneously with multiple radiation wavelengths. A light pickup receives radiation diffusely reflected from a discrete portion of the flowing substance being analyzed. The pickup in turn passes the received light along a fiber optic cable to a
25 detection and computation subsystem which may be mounted at some distance away from the optical head.

Within the subsystem, a mode mixer first receives the light. The mode mixer in turn passes the received light through a mechanically stable fiber to an optical detection
30 block. The optical detection block consists of a fiber coupling and a pair of cylindrical lenses. The cylindrical lenses in turn pass light to a wavelength separator, such

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as a linear variable filter (LVF), to spatially separate the wavelengths of interest.

The spatial separator in turn feeds a suitable response detector, such as a charge coupled device (CCD), which is capable of individually detecting in parallel, and at the same time, multiple wavelengths of the diffusely reflected radiation. The responses at individual wavelengths are then detected and converted to suitable form such as digital data, to then calculate the percentage concentration of the various constituents of the substance.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred
15 embodiments of the invention, as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the
20 invention.

Fig. 1 is a high level schematic illustration of a short wave near infrared grain quality analysis system according to the invention.

25 Figs. 2A and 2B are more detailed views of a light source and detector showing their mechanical configuration with respect to a grain duct, also showing the open and closed positions of a pick up shutter.

Fig. 3 is a more detailed view of an optics block showing a mode mixer, optics block shutter, filter, and optical detector components.

Fig. 4 depicts a process for the system for measuring absorptivity.

DETAILED DESCRIPTION OF THE INVENTION

Referring now more particularly to Fig. 1, the present invention is a system 1 for analyzing the constituent components of a flowing stream of an agricultural product as it is being processed or harvested. The agricultural products which may be analyzed by the system 1 include, but are not limited to, cereal grains such as wheat, corn, rye, oats, barley, rice, soybeans, amaranth, triticale, and other grains, grasses and forage materials. The constituent components being analyzed may include, but are not limited to, protein, oil, starch, fiber, moisture, carbohydrates and other constituents and physical characteristics such as hardness. Although the following discussion describes a particular example wherein the product being analyzed is a cereal grain, it should be understood that other agricultural products may be analyzed as well.

The system 1 uses a suitable continuous irradiating device such as an infrared light source 10. Radiation from the light source 10 shines forward through a window 12 to a sample of a flowing agricultural product 14 being harvested, processed, or otherwise flowing through a conveyance such as a duct 16.

The light source 10 continuously and simultaneously produces infrared light of multiple wavelengths in an extended short wave region of interest such as from about 570 to about 1120 nanometers (nm). The preferred light source 10 is a quartz halogen or tungsten filament bulb such as is widely available. A typical light source 10 is a tungsten filament bulb operating at 5 volts (vDC) and drawing one amp of current. The light source 10 may be further stabilized by filtering or by using an integral

The light source 10 is positioned to shine upon the cereal flowing product 14 as it is flowing through a conveyance such as a duct 16 such as may be disposed within an agricultural combine or other grain processing apparatus. The flow of the agricultural product 14 through the duct 16 is generally in the direction of the illustrated arrows.

The light source 10 and related components positioned adjacent the duct 16 may be placed within a suitable sensor head housing 11. In such an instance, a window 12 is preferably disposed between the light source 10 and the flowing agricultural product 14. The window 12 is formed of a suitable material, such as sapphire, which is transparent at the wavelengths of interest, and which does not see a significant absorption shift due to temperature changes. The window 12 may be integrally formed with the housing 11 or the duct 16 as desired.

The sensor head housing 11, including the light source 10, window 12, and other related components to be described, is thus positioned to monitor a continuous flow of the agricultural product 14 through the duct 16. This may be accomplished by mounting the housing 11 such that the window 12 is disposed adjacent an opening 15 in the duct 16 so that the light source 10 shines through the window 12 and opening 15 onto the flowing product 14.

The sensor head housing 11 may be a separate physical housing or it may be integrally formed with the duct 16.

A parabolic reflector or mirror 17 is also preferably disposed within the housing 11 to collimate the output of the light source 10 into a beam 13 of approximately one centimeter in focal length. The parabolic mirror 17 focuses the light source 10 within the stream of flowing product 14.

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In an alternate embodiment, more than one light source 10 can be used, such as an array of infrared emitters, as long as they are focused on the same point.

It is preferred that the light source 10 be placed
5 such that it directly illuminates the flowing product 14 through the window 12 with no fiber optic or other device other than the window 12 itself being disposed between the light source 10 and the flowing product 14.

Control electronics 18 may also be disposed within the
10 housing 11 to operate a shutter as will be described more fully below in connection with Fig. 2.

Light emitted by the light source 10 thus passes through the window 12 and opening 15 and is diffusely reflected from the flowing product 14. A fiber optic
15 pickup 20, preferably also disposed within the same housing 11, is arranged to collect a portion of the diffusely reflected light from the flowing product 14. Although these diffuse reflections are primarily from the focal point of the light source 10, it should be understood that
20 light is actually returned from a sample volume 19 defined by the intersection of the beam 13 produced by the light source 10 and the aperture or "field of view" of the fiber optic pickup 20.

The fiber optic pickup 20 is typically a
25 communications grade optical fiber. It would typically range in diameter from about 62.5 micrometers (μm) up to about 1 millimeter (mm). One suitable fiber is 600 μm in diameter within an NA of 0.22 (f# of approximately 2.3). The pickup 20, preferably disposed at an angle with respect
30 to the window 12 suitable to collect diffusely reflected light but not significant window surface reflection, so that light from the window 12 fills the aperture of the

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receiving fiber optic 20. A suitable angle may be, for example, 45°.

The output of the fiber optic pickup 20 is then fed through an optical fiber 26 to a detector and electronics block 30 to determine the constituent components of the flowing product 14. The detector and electronics block 30 includes an optics block 32, an analog to digital converter 33, a constituent computation function 34, a controller 35, and a display interface 36. The constituent computation function 34, controller 35, and display interface 36 are preferably implemented as software in a computer, micro-controller, microprocessor and/or digital signal processor 39. The functions of the electronics block will be described in further detailed below.

As more particularly shown in Fig. 2A, in a preferred embodiment, the housing 11 and window 12 may be positioned such that a space 22 is formed between them for the placement of a reference flag or shutter 24. The reference shutter 24 is formed of a high diffuse reflectance material such as Spectralon™ (a pressed silicate obtained from Labsphere, North Sutton, NH) or a ceramic.

The shutter 24 is positioned so that it can be selectively moved into or out of position adjacent the end of the pick-up 20. The shutter 24 is thus typically mounted on a control device such as a motor shaft 25 driven by a motor 29 which may be activated by the controller 35 in the electronics block 30. The motor 29 permits the controller 35 to selectively choose a closed or open position for the shutter 24 as shown in the front view of Fig. 2B.

An electronic signal or signals 27 are connected between the electronics block 30 and sensor head 11 to provide a way for the controller 35 to pass signals to

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control the position of the shutter 24. For example, the shutter 24 is placed in the open position to allow light to pass to the sample and to be diffusely reflected by the flowing product sample 14 during sample measurement

5 operations, and placed in a closed position to occlude light from the sample and diffusely reflected light from the shutter 24 during reference measurements, as will be described in further detail below.

The optical fiber and electronic signals 27 may be
10 bundled together in a cable sheath 28 which is connected between the detector head housing 11 and detector and electronics block 30. In a practical deployment of the system 1 such as in an agricultural harvester, it is preferred that the cable sheath 28 be sufficiently long
15 such that detector head housing 11 can be placed adjacent the grain chute 16 while the detector and electronics block 30 may be placed in a less harsh environment such as back in the cab of the harvester. Such a distance may be three meters, or more or less, for example.

20 Alternatively, the sensor head 11 and all or part of the electronics block 30 may be mounted adjacent the chute 16, in which case the optical fiber 26 will not be needed.

Although a relatively large 600 μm diameter fiber optic pick up 20 is relatively good at collecting light, it
25 is quite probable that in practical situations, the cable 28 and thus the fiber optic 26 within it will be, at the least, required to be bent to fit in and/or around the body and other parts of the harvester. In the usual case, the cable 28 is also subjected to vibrations as the harvester
30 travels through a field reaping the flowing product 14.

Unfortunately, vibrations associated with an operating harvester or other machinery can cause undesirable modal disturbances within the optical fiber 26. These modal

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disturbances are created in the form of higher order reflections such that the optics block 32 may have unwanted detection modes. These modal disturbances thus in turn cause undesirable changes in light intensity which are
5 unrelated to the properties of the flowing product 14 and which therefore add considerable noise to the desired measurement of the properties of the flowing product 14.

In order to overcome this difficulty, the detector and electronics block 30 are implemented in a particular
10 preferred manner. Turning attention more particularly to Fig. 3, the detector and electronics block 30 include a mode mixer 42, a fiber section 44, and a detector block 46 which itself includes a pair of cylindrical lenses 48-1, 48-2, a wavelength separator 50, and a detector 52.

15 The mode mixer 42 is coupled to receive the light output of the optical fiber 26 and serves to remove the higher order modes from the received optical signal. The mode mixer 42 may be implemented using a number of different components.

20 For example, one technique for implementing the mode mixer 42 is to use one or more, preferably one, so called "grin" lenses. The grin lenses have a center wavelength of approximately the same as the center of the infrared region of interest, which here is 800 nanometers (nm). The grin
25 lenses also have a collectively relatively high pitch of from about 0.4 to 0.5. For example, two grin lenses may be used, each having a pitch of about 0.2 to 0.25. The relatively high collective pitch provides an approximately spot-oriented image, rather than a cone type image, at the
30 output. A suitable grin lens can be obtained from NSG Corporation of Somerset, New Jersey.

The mode mixer 42 may also be implemented as a holographic diffuser. In some instances a holographic

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diffuser may represent a more desirable implementation of the mode mixer 42 since its response is not as wavelength dependent as a grin lens. However, a grin lens is easier to manufacture on a "one-off" basis. One type of suitable
5 holographic diffuser is the "Beam Homogenizer" available from Digital Optics Corporation of Charlotte, North Carolina.

The fiber coupling 44 provides a mechanically stable light pipe for coupling the output of the mode mixer 42 to
10 the rest of the optics block 46.

Another shutter 47 is preferably disposed at the output of the fiber coupling. This optical block shutter 47 is formed of an opaque material and is used to block light from entering the optics block 46 during collection
15 of a reference dark spectrum procedure which is described in greater detail below.

The cylindrical lenses 48-1 and 48-2 serve to properly focus the received light energy at the input of the optics block 46 onto the detector 52.

20 The wavelength separator 50 provides spatial separation of the various wavelengths of diffusely reflected light energy of interest. Suitable wavelength separators 50 include linearly variable filters (LVF), gratings, prisms, interferometers or similar devices. The
25 wavelength separator 50 is preferably implemented as a linearly variable filter (LVF) having a resolution ($\Delta\lambda/\lambda$) of approximately one to four percent.

The now spatially separated wavelengths in turn focus upon the detector 52. The detector 52 is such that it
30 simultaneously measures the response at a broad range of wavelengths. In the preferred embodiment, the detector 52 is an array of charge coupled devices (CCDs), which individually measure the light intensity at each of the

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respective wavelengths. In other words, each cell of the CCD array is tuned to measure the intensity of an individual bandpass of light.

Other suitable detectors 52 may, however, be
5 constructed from fast scan photodiodes, charge injection devices (CIDs), or any other arrays of detectors suitable for the task of simultaneously detecting, in parallel, the wavelengths of interest.

In a preferred embodiment, the detector 52 is a
10 silicon CCD array product, such as a Fairchild CCD 133A available from Loral-Fairchild. The device preferably has a spatial resolution of about 13 micrometers. The frequency resolution is the selected bandwidth of interest (as determined by the linear variable filter 50), divided
15 by the number of CCD elements. In the preferred embodiment the CCD array 52 is a 1,024 element array processing wavelengths in the range from about 570 to about 1120 nm.

In addition, the detector 52 such as a CCD array is typically temperature sensitive so that stabilization is
20 usually preferred.

In the preferred embodiment, because of the compact design of the optics module 46 and the relatively close positioning of LVF 50 and CCD array 52, both of these components can be temperature stabilized together. The
25 temperature stabilization can be by suitable heat sink surfaces, a thermoelectric cooler (Peltier cooler) or fan.

Returning attention to Fig. 1, the individual electrical signals provided by the CCD for each wavelength are then fed from the output of the detector 52 to be
30 converted to digital signals by the analog to digital converter 33.

A computation block 34, preferably implemented in a microcomputer or digital signal processor as described

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above, then carries out calculations on the basis of the received wavelength intensities to obtain percentage concentrations of constituents of the sample 14. The percentage of constituents, which are determined using a chemometric model, are then shown in any desired way such as by a meter or presenting them to a display. The display may be integral to a laptop computer or other computer placed in the cab of the harvester. The computation block may be part of the electronics block 30 or may physically separate from it.

Techniques for calculating percentage concentrations of grain based upon samples of light and particular wavelengths are the multi-variate techniques detailed in the book by Sharaf, M.A., Illman, D.L., and Kowalski, B.R., entitled "Chemometrics" (New York: J. Wiley & Sons, 1986).

Preferred wavelengths of interest depend upon the constituents being measured. For example, when measuring protein concentration, the algorithms make use of absorptance attributable to the vibration-rotational overtone bands of the sub-structure of protein. At longer wavelengths absorptivity coefficients are large, the path length is short, and thus one would not sample the interior of the grain particles. At shorter wavelengths the absorptivity coefficients are small and the signal is thus weak.

The system 1 thus provides for irradiation of the sample followed by spacial separation and detection of multiple wavelengths in parallel, making for rapid analysis of this sample. Moreover, because the optical portions of the unit are stabile to vibrations, it is substantially insensitive to vibrations such as found in agricultural combines or other harvesting and processing equipment. The system 1 may therefore be easily deployed in environments

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where real time analysis of harvested grain or other agricultural produce may be carried out during harvesting and other processing operations. The data obtained thereby may be compared with reference data to provide percentage concentrations of constituents for use in mapping field layout according to the so called global positioning system (GPS).

Furthermore, the use of the CCD array 52 provides advantages over prior art techniques that use discrete or scanned diode arrays. In particular, the CCD bins are all filed with charge at the same time in parallel with one another, until one of them is nearly full. They are then emptied and the results read out by the controller 35 while the CCD array begins filling again. Therefore, each pixel has seen the same grains over the same time intervals. In contrast, diode arrays must be read sequentially so that for example, any given element is producing a signal from a volume of grain if it is distinct from those seen by previous pixels.

The signal to noise ratio of the system 1 may be improved by averaging over the course of many measurements.

Briefly mentioned above was a procedure for calculating an absorption spectrum. To this end, the step motor 26 (Fig. 2A) can be activated to place the shutter 24 in the closed position between the end of the pick up 20 and the optics block 46. In this position, the optics block 46 therefore sees no light from the pick up 20 and only the white light emissions of the shutter blade 24. This measurement then permits a reference signal to be measured.

The preferred absorptivity measurement includes the following process (also depicted in Fig. 4):

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1. A reference dark spectrum, D, is measured by closing the optics block shutter 47 (step 101).
2. A reading is then taken from the CCD array 52 (step 102).
- 5 3. Open the optics block shutter 47 (step 103).
4. Close the pick up shutter 24 (step 104).
5. Measure a reference spectrum, R, by taking a reading from the CCD array 52 (step 105).
6. Open the pick up shutter 24 (step 106).
- 10 7. With both shutters 24 and 47 now open, take a reading from the sampled volume 19 to determine a sample spectrum, S (step 107).
8. Calculate the absorptance spectrum, A (step 108).

15 The light absorption as derived from these diffuse reflectance measurements is given by

$$A = \text{LOG}_{10} (R-D/S-D).$$

In addition, since the absorptivity variations from the presence of protein are quite small, multiple
20 realizations (step 109), averaging, and second derivative analysis are typically used to produce the desired absorptivity number at a particular wavelength. Further data processing therefore may provide a second derivative of this function to remove constant and linear
25 offsets so that only quadratic and higher order features in the absorptivity spectrum are utilized in the determination of protein content.

EQUIVALENTS

30 While this invention has been particularly shown and described with references to preferred embodiments thereof,

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CLAIMS

What is claimed is:

1. An apparatus for determining constituent components of a flowing stream of an agricultural product as it is being processed on a real time basis, the apparatus comprising:
- 5 a light source, arranged to irradiate a sample portion of the flowing stream of agricultural product as it is being processed with a plurality of wavelengths within a selected irradiation bandwidth within a short wave near infrared spectrum;
- 10 an optical pick up, arranged to receive light energy diffusely reflected from the irradiated sample portion;
- 15 a wavelength separator, connected to receive light from the optical pick up, and to produce spatially separated light of different wavelengths; and
- 20 a detector, connected to receive light from the wavelength separator, and to produce detected intensity signals indicative of light intensity simultaneously at multiple selected wavelengths within the dispersing filter bandwidth.
2. An apparatus as in Claim 1 wherein the wavelength separator and detector are arranged such that the detected intensity signals at a given sample time represent the response of the apparatus for a range of wavelengths as taken from a sample portion of the flowing stream.
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agricultural product from the detected intensity values.

11. An apparatus as in Claim 10 wherein the computer repeats the calculation steps above and averages measurements of R and S to determine the absorption value.
12. An apparatus as in Claim 1 wherein the light source and optical pick up are placed in an optical head housing positioned adjacent a side opening in a product chute, and the wavelength separator and detector are placed remotely from the product chute, and wherein an optical fiber, is connected between the optical pick up and the wavelength separator to couple light energy between them.
13. An apparatus as in Claim 1 wherein the detector is a charge coupled device (CCD) array.
14. An apparatus as in Claim 1 wherein the constituent component is selected from the group consisting of protein, moisture, oil, starch, flour, and hardness.
15. In a harvester which includes a product chute for conveying a flowing stream of agricultural product, an analyzer for determining constituent components of the agricultural product as it is being harvested, the analyzer comprising:
- a light source, arranged to irradiate a sample portion of the flowing stream of agricultural product as it is fed through the chute, the light source

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providing a plurality of wavelengths within a selected optical irradiation bandwidth;

an optical pick up, for receiving light energy reflected from the irradiated sample portion;

5 a wavelength separator, receiving light from the optical pick up, and producing spatially separated light of different wavelengths;

10 a detector, receiving light from the wavelength separator, and producing detected intensity signals indicative of light intensity simultaneously at multiple selected wavelengths within the dispersing filter bandwidth; and

15 a computer, for receiving the detected intensity signals from the detector, and for calculating constituent components of the sample portion of the agricultural product from the detected intensity values.

16. A method for determining constituent components of a flowing stream of an agricultural product as it is being processed on a real time basis, the method comprising the steps of:

20 irradiating a sample portion of the flowing stream of agricultural product as it is being processed with a plurality of wavelengths within a selected irradiation bandwidth within a short wave near infrared spectrum;

25 picking up light energy diffusely reflected from the irradiated sample portion;

30 separating wavelengths of the picked up diffusely reflected light to produce spatially separated light of different wavelengths; and

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detecting intensity signals from the separated wavelengths simultaneously at multiple selected wavelengths to simultaneously determine multiple light intensities.

- 5 17. A method as in Claim 16 wherein the steps of separating and detecting are performed such that the detected intensity signals at a given sample time represent the response for a range of wavelengths as taken from a single sample portion.
- 10 18. A method as in Claim 16 wherein the step of separating is performed by a linearly variable filter.
19. A method as in Claim 16 wherein the flowing stream of agricultural product is in a combine.
- 15 20. A method as in Claim 16 wherein the flowing stream of agricultural product is in a grain processor.
21. A method as in Claim 16 wherein the flowing stream of agricultural product is in a storage facility.
22. A method as in Claim 16 additionally comprising the steps of:
- 20 mode mixing the light energy provided by the picking up step, to attenuate variations in optical intensity of the light.
23. A method as in Claim 16 additionally comprising the step of:
- 25 converting the detected intensity signals to provide digital detected intensity values.

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24. A method as in Claim 16 additionally comprising the step of:
calculating constituent components of the sample portion of the agricultural product from the detected intensity values.
25. A method as in Claim 24 additionally comprising the steps of:
selectively performing the step of picking up diffusely reflected light by operating a pick up shutter in a closed or open position;
selectively performing the step of separating wavelengths by operating an optics block shutter, in a closed or open position; and
wherein the step of calculating constituent components by determining an absorptivity by the steps of:
measuring a dark spectrum, D, as the response with the optics block shutter closed;
measuring a reference spectrum, R, by opening the optics block shutter and closing the pick up shutter;
measuring a sample spectrum, S, with both shutters open; and
determining a light absorption value, A, at the selected wavelength from the relationship
$$A = \text{LOG}_{10} (R-D/S-D).$$
26. An method as in Claim 25 wherein the step of calculating is repeated to average measurements of R and S to determine the absorption value.

27. A short wave near infrared analysis system for obtaining percentage concentrations of constituents of a composite substance, comprising:

5 means for irradiating a composite substance simultaneously with short wave - near infrared radiation over a specified bandwidth;

means for simultaneously picking-up electromagnetic radiation reflected from said composite substance;

10 means for spatially separating wavelengths of electromagnetic radiation over the specified bandwidth as combined in the means for simultaneously picking-up radiation reflected;

15 means for individually detecting in parallel the wavelengths of the reflected electromagnetic radiation to obtain percentage concentration of the various constituents of said component substance; and

20 wherein the analysis system is attached to an agricultural combine for analyzing a flowing stream of agricultural produce on a real time basis during harvesting thereof.

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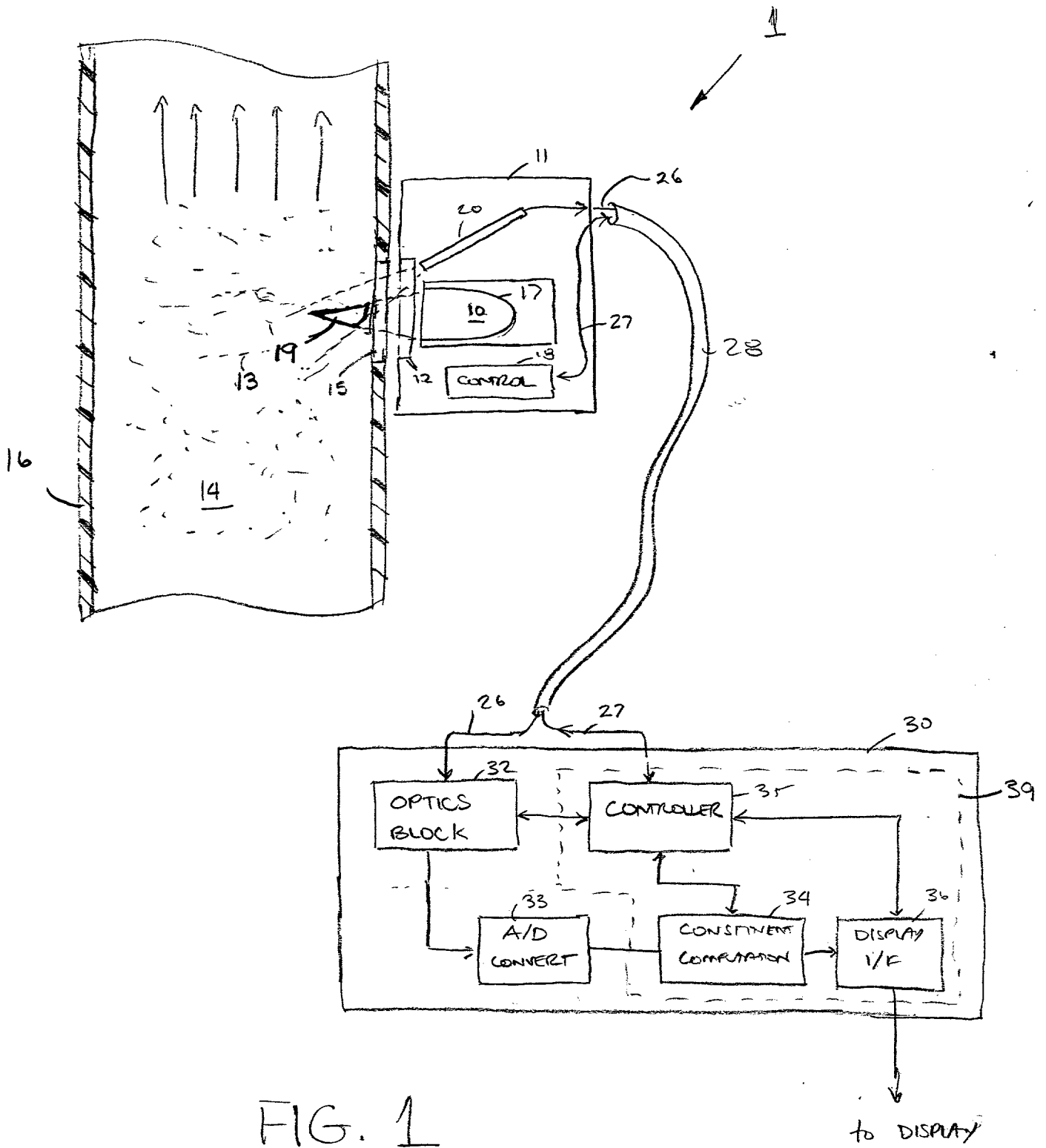
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GRAIN QUALITY MONITOR

ABSTRACT OF THE DISCLOSURE

Percentage concentrations of constituents of a sample of cereal grain or other agricultural product in a flowing stream are determined while harvesting or processing using a short wave near infrared analyzer. The analyzer irradiates the sample, picks up diffuse reflectance of individual wavelengths from the sample and spatially separates the diffuse reflectance into a response at individual wavelengths. The result is to simultaneously detect the intensities of the individual wavelengths in parallel from the same portion of the product being analyzed. Percentage constituents of the composite substance may then be compared with known percentage constituents to determine the constituents. The analyzer is optically stabilized and is therefore suitable for attaching to the agricultural combine to perform real time measurements in the field.

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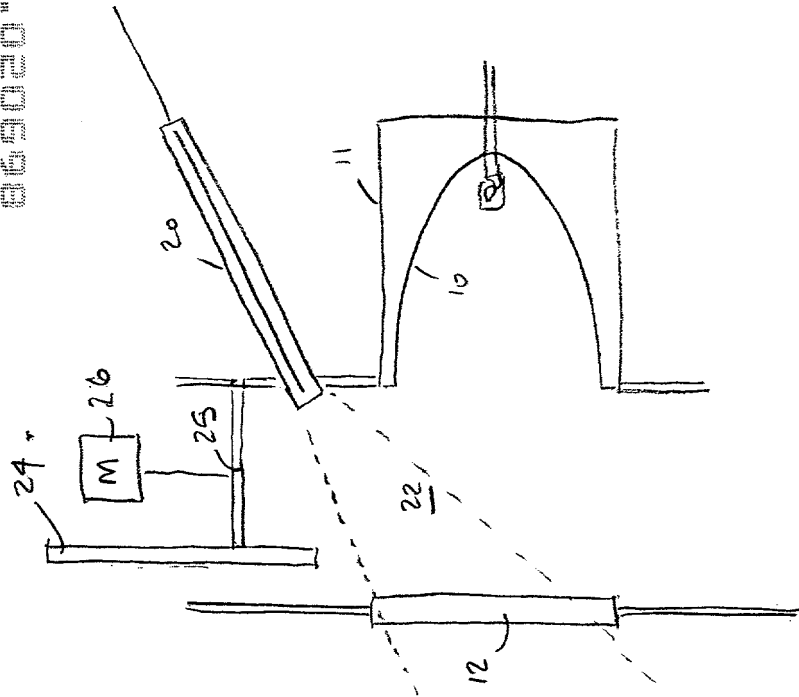


FIG. 2A

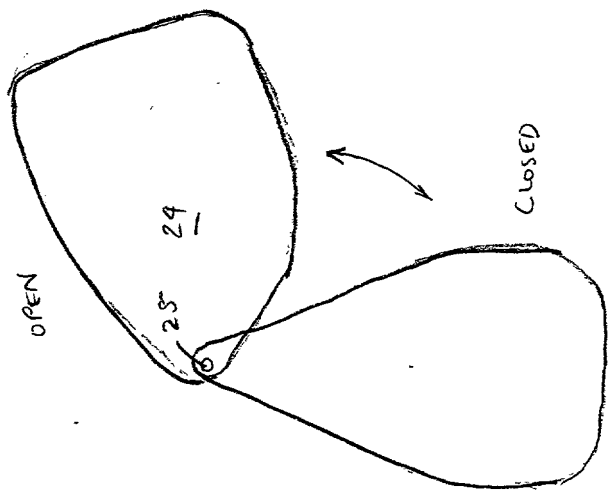


FIG. 2B

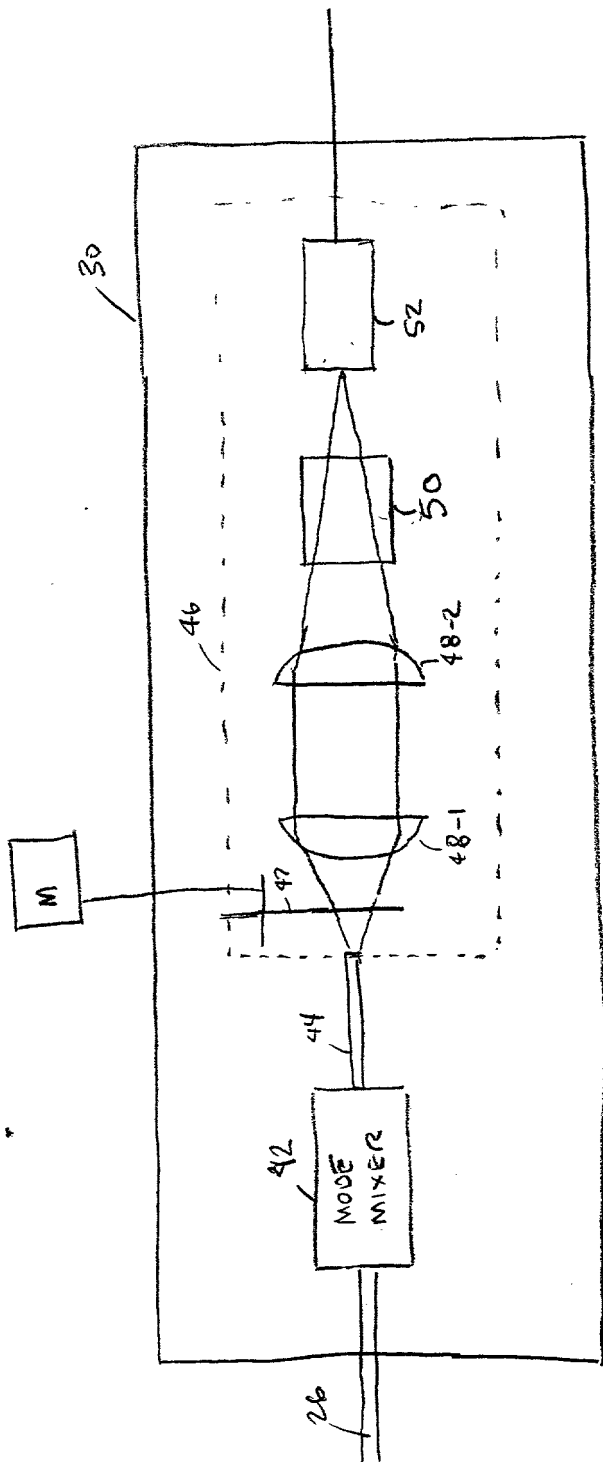
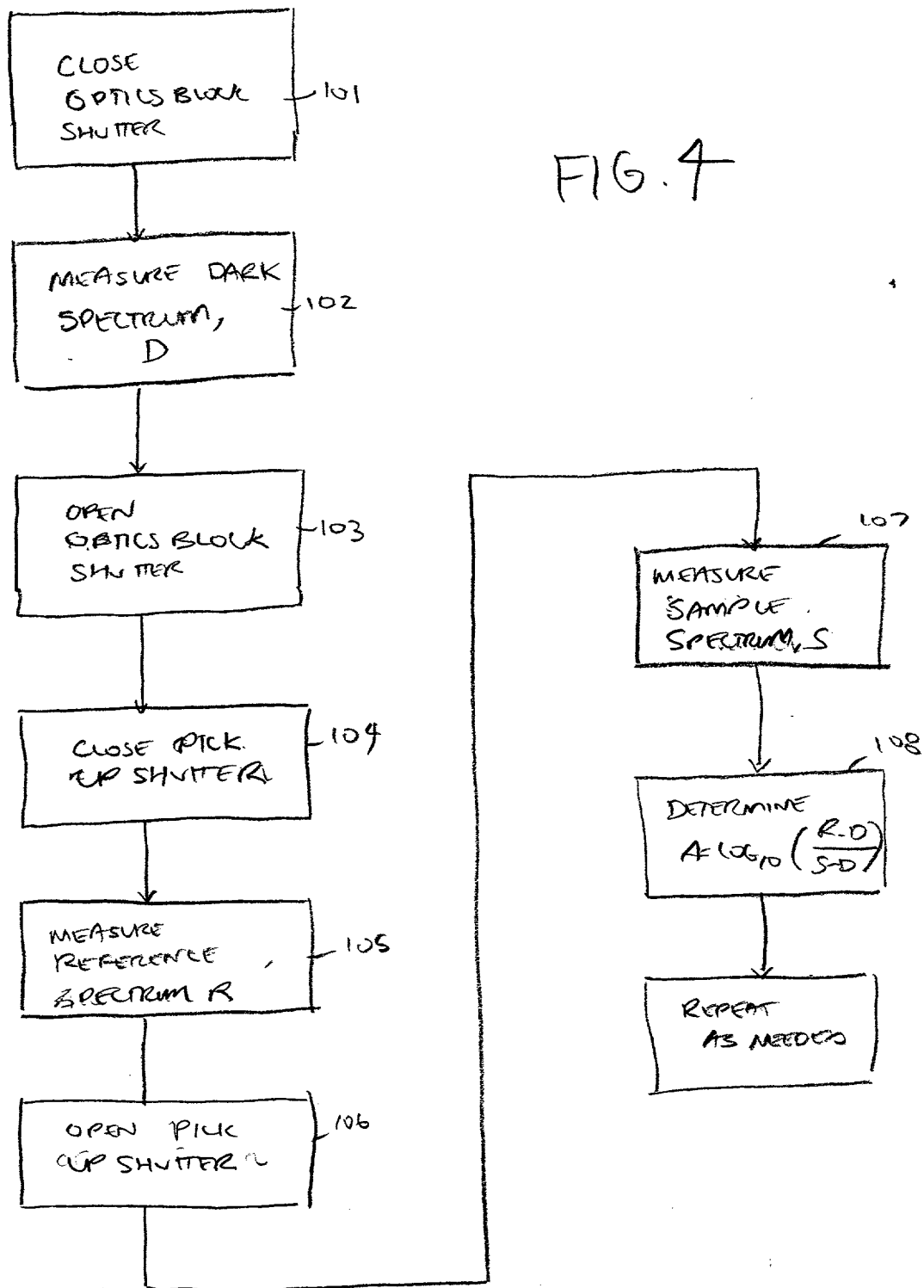
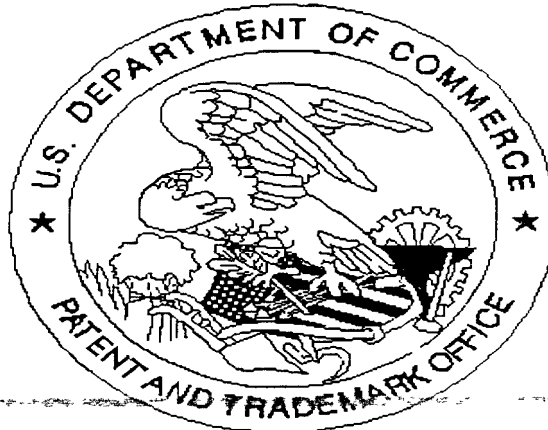


FIG. 3



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